

Research Administration and Compliance Meeting
Wednesday, February 17, 2016 1:00 – 3:00 p.m.
Larrick Student Center, Court End Ballroom A

Agenda

Office of Research Integrity and Ethics

- Staffing Update

Grants & Contracts

- Staffing Update
- Status of Clinical Effort Certification period opening
- Proposed budget treatment for Unit Fixed Cost Contracts
- Reminder – New NIH Salary Cap is \$185,100 effective 1/10/2016

Office of Export Compliance

- Imports
- Policy for Volunteers in Research

Office of Sponsored Programs

- Staffing Update
- RAMS-SPOT—Implementation Update

Office of Research Administration and Compliance

- Policy Updates
- FAPIIS

Office of Research Subjects Protection

Clinical Research Updates

- Staffing Update
- Process Improvement Initiative

Upcoming RACM Meetings – 1 – 3 p.m., Larrick Student Center, Court End Ballroom A

- April 27, 2016



Office of Sponsored Programs (OSP) Updates:

Annie Publow

Director, OSP-Government/NonProfit

February 17, 2016

OSP Update Topics

- OSP Staffing Update
- RAMS-SPOT—Implementation Update

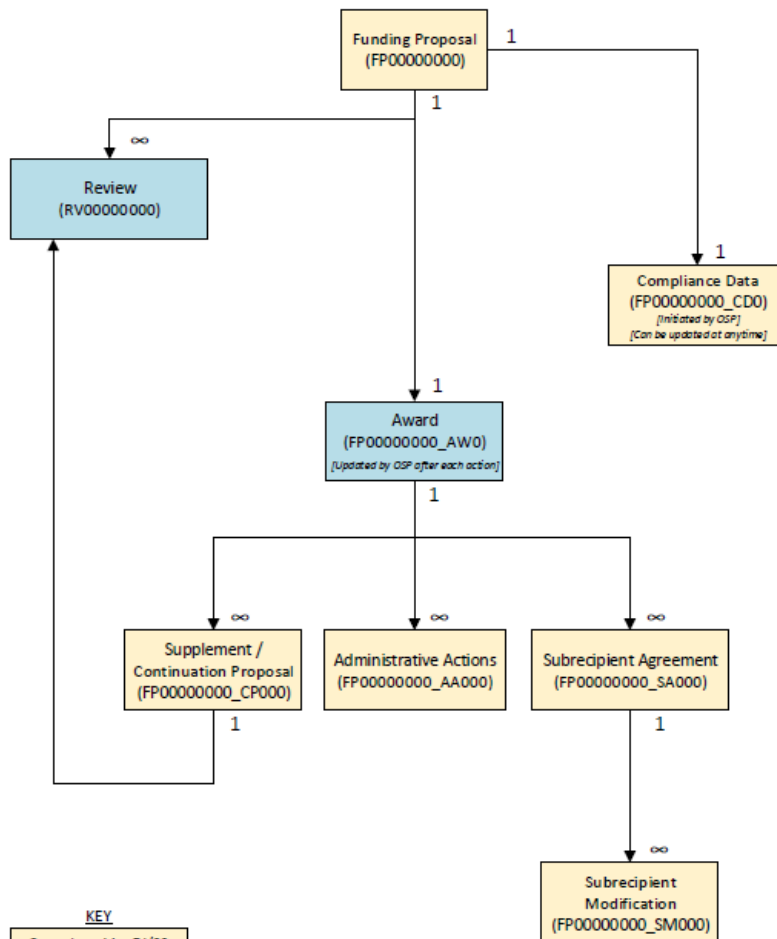
RAMS-SPOT Target Implementation Timeline

Implementation Phase	Activity	January 2015	February 2015	March 2015	April 2015	May 2015	June 2015	July 2015	August 2015	September 2015	October 2015	November 2015	December 2015	January 2016	February 2016	March 2016	April 2016	May 2016	June 2016
		InfoEd	Continue InfoEd Use	Green	Green	Green	Green	Green	Green	Green	Green	Green	Green	Green	Green	Green	Green	Green	Green
New Proposals (IAF package)						Blue	Blue	Blue	Blue	Blue	Blue	Blue	Blue	Blue	Blue	Blue	Blue	Blue	Blue
Continuation Proposals (IAF package)						Green	Green	Green	Green	Green	Green	Green	Green	Green	Green	Green	Green	Green	Green
Awards & Post Award Actions						Green	Green	Green	Green	Green	Green	Green	Green	Green	Green	Green	Green	Green	Green
RAMS-SPOT Phase 1	Data Conversion													Blue	Blue	Blue	Blue	Blue	Blue
	Funding Proposal (new proposals, pre-proposals and task orders)	Grey	Green	Green	Green	Green	Green	Green	Green	Green	Green	Green	Green	Green	Green	Green	Green	Green	Green
	Pre-Award Review Projects: Unilateral/Bilateral/Clinical Trial/Master Agreements, CDAs, MTAs, DUAs, JIT, Export Control		Green	Green	Green	Green	Green	Green	Green	Green	Green	Green	Green	Green	Green	Green	Green	Green	Green
	Awards-Initial & Subsequent				Yellow	Yellow	Yellow	Yellow	Yellow	Yellow	Yellow	Yellow	Yellow	Yellow	Yellow	Yellow	Yellow	Yellow	Yellow
	Awards-Subsequent				Yellow	Yellow	Yellow	Yellow	Yellow	Yellow	Yellow	Yellow	Yellow	Yellow	Yellow	Yellow	Yellow	Yellow	Yellow
RAMS-SPOT Phase 2	Funding Proposal Continuations																		
	Post Award Review Projects (prior approval, expanded authority, progress report)																		
	Subawards-Initial & Subsequent																		
	ODS/Dashboard Data Feed																		
RAMS-SPOT Phase 3	Closeout																		
	Reports																		
		version 2015-08-26																	
		Design Work			Pilot Test			Training											
		Development			Soft Launch			Out of Service											
		Testing			In Production														



SPOT Relationships

2-17-2016



KEY

- Completed by PI/SS
- Completed by OSP

OSP will have edit access to all projects



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VIRGINIA COMMONWEALTH UNIVERSITY

Federal Awardee Performance and Integrity Information System (FAPIIS)

Research Administration and Compliance Meeting

February 17, 2016

Section 872 of Duncan Hunter National Defense Authorization Act

- Federal Agencies Report on Performance of Contractors **and Grantees**
- Awards made after January 1, 2016
- Requirement will be included in grant awards
- Federal agencies **MUST** check the system prior to making an award greater than \$150,000
 - Determine if applicant is “qualified”
- Grantees will have an opportunity to comment on data entered by agencies

VCU's Current Listing in FAPIIS



Search Results

Entity: VIRGINIA COMMONWEALTH UNIVERSITY
DUNS: 105300446
CAGE: 46050

[View Corporate Relationships](#)

FAPIIS Data	Records	Count
Administrative Agreement	No	0
Defective Pricing	No	0
DoD Determination of Contractor Fault	No	0
Non-Responsibility Determination	No	0
Recipient Not-Qualified Determination	No	0
Termination for Cause	No	0
Termination for Default	No	0
Termination for Material Failure to Comply	No	0

Proceedings Information as Entered by the Entity in SAM.gov

- Question: Does your business or organization (represented by the DUNS number on this specific Entity Management section of SAM record) have current active Federal contracts and/or grants with total value (including any exercised/unexercised options) greater than \$10,000,000?
***Contractor Response: **Yes**
- Question: Within the last five years, has your business or organization (represented by the DUNS number on this specific Entity Management section of SAM record) and/or any of its principals, in connection with the award to or performance by your business or organization of a Federal contract or grant, been the subject of a Federal or State (1) criminal proceeding resulting in a conviction or other acknowledgment of fault; (2) civil proceeding resulting in a finding of fault with a monetary fine, penalty, reimbursement, restitution, and/or damages greater than \$5,000, or other acknowledgment of fault; and/or (3) administrative proceeding resulting in a finding of fault with either a monetary fine or penalty greater than \$5,000 or reimbursement, restitution, or damages greater than \$100,000, or other acknowledgment of fault?
***Contractor Response: **No**

SAM Exclusion Data

*** No matching Performance Information section of SAM records were found based on the search criteria information we have. You may want to search the Performance Information section of SAM directly at <https://www.sam.gov> and use the 'Advanced Search' option to locate the entity of interest.

[CPARS](#) [PPIRS](#) [System for Award Management \(SAM\)](#) [Help Desk](#) [Accessibility/Section 508](#)



Make it real.

Institutional Responsibilities

- Every six months – Report proceedings in connection with a grant or contract that reached its final disposition in the most recent five year period.
 - Criminal that resulted in conviction
 - Civil that resulting in finding of fault and liability and payment of \$5,000 or more
 - Administrative that resulted in same as civil OR reimbursement, restitution, or damages in excess of \$100K

Plus

- Any other criminal civil, or administrative proceeding if it could have led to an outcome described above;
- Had a different disposition arrived at by consent or compromise with an acknowledgment of fault; and
- The requirement to disclose does not conflict with applicable laws and regulations.

Impacts

For PIs and Administrators:

- Late Reports will be reported in the system
 - Technical
 - Financial
 - Patent and Inventory

For Institution:

- Publically available database



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Upcoming Policy Updates

Policy Reviews and Updates

- Postdoctoral Scholars Policy and Procedures
- Dual Use Research of Concern – Currently Interim
- Corporate Research Agreements
- Use of Unmanned Aircraft Systems (UAS) for Research and Instruction
- OSP Policy Updates