Research Administration and Compliance Meeting  
Wednesday, February 17, 2016  1:00 – 3:00 p.m.  
Larrick Student Center, Court End Ballroom A

Agenda

Office of Research Integrity and Ethics  
- Staffing Update

Grants & Contracts  
- Staffing Update  
- Status of Clinical Effort Certification period opening  
- Proposed budget treatment for Unit Fixed Cost Contracts  
- Reminder – New NIH Salary Cap is $185,100 effective 1/10/2016

Office of Export Compliance  
- Imports  
- Policy for Volunteers in Research

Office of Sponsored Programs  
- Staffing Update  
- RAMS-SPOT—Implementation Update

Office of Research Administration and Compliance  
- Policy Updates  
- FAPIIS

Office of Research Subjects Protection

Clinical Research Updates  
- Staffing Update  
- Process Improvement Initiative

Upcoming RACM Meetings – 1 – 3 p.m., Larrick Student Center, Court End Ballroom A  
- April 27, 2016
Office of Sponsored Programs (OSP) Updates:  
Annie Publow  
Director, OSP-Government/NonProfit  
February 17, 2016
OSP Update Topics

• OSP Staffing Update
• RAMS-SPOT—Implementation Update
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version 2015-08-26

Design Work | Pilot Test | Training
Development | Soft Launch | Out of Service
Testing | In Production
Section 872 of Duncan Hunter National Defense Authorization Act

- Federal Agencies Report on Performance of Contractors and Grantees
- Awards made after January 1, 2016
- Requirement will be included in grant awards
- Federal agencies MUST check the system prior to making an award greater than $150,000
  - Determine if applicant is “qualified”
- Grantees will have an opportunity to comment on data entered by agencies
VCU’s Current Listing in FAPIIIS

**Federal Awardee Performance and Integrity Information System**

**Search Results**
- Entity: VIRGINIA COMMONWEALTH UNIVERSITY
- DUNS: 105300446
- CAGE: 66050

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<td>Non-Responsibility Determination</td>
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**Procedures Information as Entered by the Entity in SAM.gov**

- Question: Does your business or organization (represented by the DUNS number on this specific Entity Management section of SAM record) have any current active Federal contracts and/or grants with total value (including any exercised/unexercised options) greater than $30,000,000?
  - Contractor Response: Yes

- Question: Within the last five years, has your business or organization (represented by the DUNS number on this specific Entity Management section of SAM record) and/or any of its principals, in connection with the award to or performance by your business or organization of a Federal contract or grant, been the subject of a Federal or State (1) criminal proceeding resulting in a conviction or other acknowledgment of fault; (2) civil proceeding resulting in a finding of fault with a monetary fine, penalty, reimbursement, restitution, and/or damages greater than $5,000, or other acknowledgment of fault; and/or (3) administrative proceeding resulting in a finding of fault with either a monetary fine or penalty greater than $5,000 or reimbursement, restitution, or damages greater than $100,000, or other acknowledgment of fault?
  - Contractor Response: No

**SAM Exclusion Data**

- No matching Performance Information section of SAM records were found based on the search criteria information we have. You may want to search the Performance Information section of SAM directly at [https://www.sam.gov](https://www.sam.gov) and use the ‘Advanced Search’ option to locate the entity of interest.
Institutional Responsibilities

• Every six months – Report proceedings in connection with a grant or contract that reached its final disposition in the most recent five year period.
  • Criminal that resulted in conviction
  • Civil that resulting in finding of fault and liability and payment of $5,000 or more
  • Administrative that resulted in same as civil OR reimbursement, restitution, or damages in excess of $100K
Plus

• Any other criminal civil, or administrative proceeding if it could have led to an outcome described above;
• Had a different disposition arrived at by consent or compromise with an acknowledgment of fault; and
• The requirement to disclose does not conflict with applicable laws and regulations.
Impacts

For PIs and Administrators:

• Late Reports will be reported in the system
  • Technical
  • Financial
  • Patent and Inventory

For Institution:

• Publically available database
Upcoming Policy Updates
Policy Reviews and Updates

• Postdoctoral Scholars Policy and Procedures
• Dual Use Research of Concern – Currently Interim
• Corporate Research Agreements
• Use of Unmanned Aircraft Systems (UAS) for Research and Instruction
• OSP Policy Updates