

Subrecipient Interest Disclosure Form

What is this form for? COI Investigators from a subrecipient entity that does not have a COI policy compliant with federal financial disclosure requirements must disclose their interests (and those of their spouse/dependents) related to the work proposed under the relevant subrecipient agreement.

Who is considered a 'COI Investigator' and, therefore, required to disclose? 'COI Investigator' describes any individual, regardless of title, role, or position, who is responsible for the design, conduct, or reporting of research. Individuals with such research responsibilities may be, but are not limited to, senior/key personnel, sub/co-investigator or subrecipient investigator, medical investigator, collaborator, consultant, student, trainee, or research coordinator. Exceptions include students or other personnel whose research activities are directly supervised. By considering an individual's degree of independence relative to the research, the subrecipient PI designates personnel who meet the definition of COI Investigator.

I. Project Information

Pass Through Entity	<input type="text"/>	PTE DUNS	<input type="text"/>
Subrecipient Entity Name	<input type="text"/>	Subrecipient DUNS	<input type="text"/>
Subrecipient PI	<input type="text"/>	VCU PI	<input type="text"/>
Subrecipient Project Title	<input type="text"/>	VCU Proposal #	<input type="text"/>

II. COI Investigators

- The Subrecipient PI is a COI Investigator. **The Subrecipient PI must fill out Section III.**
- The following personnel also meet the definition of COI Investigator. **Each person listed below must fill out Section III.**
- COI Investigator Name
- COI Investigator Name
- COI Investigator Name
- None of the personnel on the project, including the Subrecipient PI, meet the definition of COI Investigator. **Do not fill out Section III.**

Forward Page 1 (Sections I and II of this form) to VCU's COI in Research Program at AIRS@vcu.edu (place 'Subrecipient Interest Disclosure Form' in the subject line).

Signature of Subrecipient PI

Date

Signature of COI in Research Program Director or designee

Date Received

III. Subrecipient COI Investigator Interest Disclosure

COI Investigator Name	<input type="text"/>	Email Address	<input type="text"/>
Subrecipient Entity Name	<input type="text"/>	Subrecipient PI	<input type="text"/>

Answer the following questions about your interests in the context of the subrecipient agreement.

1. Officer, Director, and Advisory Board Positions

In the past 12 months, have you or your spouse/dependents served as an officer, director, or advisory board member, whether paid or unpaid?

Yes No

2. Stocks, Bonds, and Options

In the past 12 months, have you or your spouse/dependents owned stocks, bonds, or options for which you have the power to buy, sell, or direct the purchase or sale of the securities?

Yes No

3. Outside Professional Activities and/or Travel

In the past 12 months, have you or your spouse/dependents received remuneration outside of your institution for consulting, other outside professional activities, or travel related to your capacity as an employee of your institution?

Yes No

4. Non-institutional Salary or Other Income

In the past 12 months, have you or your spouse/dependents received a salary or other income outside of your institution?

Yes No

5. Business Ownership

In the past 12 months, have you or your spouse/dependents owned or operated a business?

Yes No

6. Intellectual Property

Do you or your spouse/dependents own or have an inventive interest in any intellectual property (e.g. inventions, patents, tangible research materials, copyrights, etc.)?

Yes No

Signature of COI Investigator

Date

Collect completed forms from all COI Investigators and forward to VCU's COI in Research Program at AIRS@vcu.edu (place 'Subrecipient Interest Disclosure Form' in the subject line) for confidential hold.