

Subrecipient Interest Disclosure Form

What is this form for? 'COI Investigators' from a subrecipient entity that does not have a conflict of interest (COI) policy compliant with federal financial disclosure requirements must disclose their interests (and those of their spouse/dependents in the same household) related to the work proposed under the relevant subrecipient agreement.

Who is considered a COI Investigator and is therefore required to disclose? 'COI Investigator' is a designation determined by the subrecipient PI that describes any individual who is responsible for the design, conduct, or reporting of research. The individual's role in the project, rather than their title, and the degree of independence with which those individuals work should be primary considerations. Anyone under direct supervision and with limited/no decision-making authority, personnel who support the research enterprise, and engaged community members recruiting or surveying participants are likely *not* COI Investigators.

I. Project Information

Pass Through Entity (PTE)	<input type="text"/>	PTE DUNS	<input type="text"/>
Subrecipient Entity Name	<input type="text"/>	Subrecipient DUNS	<input type="text"/>
Subrecipient PI	<input type="text"/>	VCU PI	<input type="text"/>
Subrecipient Project Title	<input type="text"/>	VCU Proposal #	<input type="text"/>

II. COI Investigators

- The Subrecipient PI is a COI Investigator. **The Subrecipient PI must fill out Section III.**
- The following personnel also meet the definition of COI Investigator. **Each person listed below must fill out Section III.**
- COI Investigator Name
- COI Investigator Name
- COI Investigator Name
- None of the personnel on the project, including the Subrecipient PI, meet the definition of COI Investigator. **Do not fill out Section III. Forward Page 1 (Sections I and II of this form) to VCU's COI in Research Program at AIRS@vcu.edu (placing 'Subrecipient Interest Disclosure Form' in the subject line).**

Signature of Subrecipient PI

Date

(N/A if digitally signed with embedded date)

Signature of COI in Research Program Director or designee

Date Received

(N/A if digitally signed with embedded date)

III. Subrecipient COI Investigator Interest Disclosure

COI Investigator Name	<input type="text"/>	Email Address	<input type="text"/>
Subrecipient Entity Name	<input type="text"/>	Subrecipient PI	<input type="text"/>

Answer the following questions about your interests (and those of your spouse/dependents in the same household) in the context of the subrecipient agreement.

1. Officer, Director, and Advisory Board Positions

In the past 12 months, have you or your spouse/dependents served as an officer, director, or advisory board member, whether paid or unpaid?

Yes No

2. Stocks, Stock Options, and Bonds

In the past 12 months, have you or your spouse/dependents owned stocks, stock options, or corporate bonds for which you have the power to buy, sell, or direct the purchase or sale of the investments?

Yes No

3. Outside Professional Activities and/or Travel

In the past 12 months, have you or your spouse/dependents received remuneration outside of your institution for consulting, other outside professional activities, or travel related to your capacity as an employee of your institution?

Yes No

4. Non-institutional Salary or Other Income

In the past 12 months, have you or your spouse/dependents received a salary or other income that was not part of your employment with your institution?

Yes No

5. Business Ownership

In the past 12 months, have you or your spouse/dependents owned or operated a business?

Yes No

6. Intellectual Property

Do you or your spouse/dependents own any intellectual property (i.e. did you develop an invention)?

Yes No

Signature of COI Investigator

Date

(N/A if digitally signed with embedded date)

Collect completed forms from all COI Investigators and forward to VCU's COI in Research Program at AIRS@vcu.edu (placing 'Subrecipient Interest Disclosure Form' in the subject line) for confidential hold.