

# COI in Research Program Update 2018

Monika Markowitz, PhD  
Office of Research Integrity and Ethics

[AIRS@vcu.edu](mailto:AIRS@vcu.edu), [msmarkow@vcu.edu](mailto:msmarkow@vcu.edu), 828-2157  
<https://research.vcu.edu/coi/index.htm>

ReDAC  
3/13/2019



# VCU

## Conflicts of Interest in Research

**Policy Type:** Administrative

**Responsible Office:** Office of Research Integrity and Ethics, Office of the Vice President for Research and Innovation

**Initial Policy Approved:** 05/15/2009

**Current Revision Approved:** 02/05/2018

- Streamlined
- More clarity
- Appeal ends with VPRI

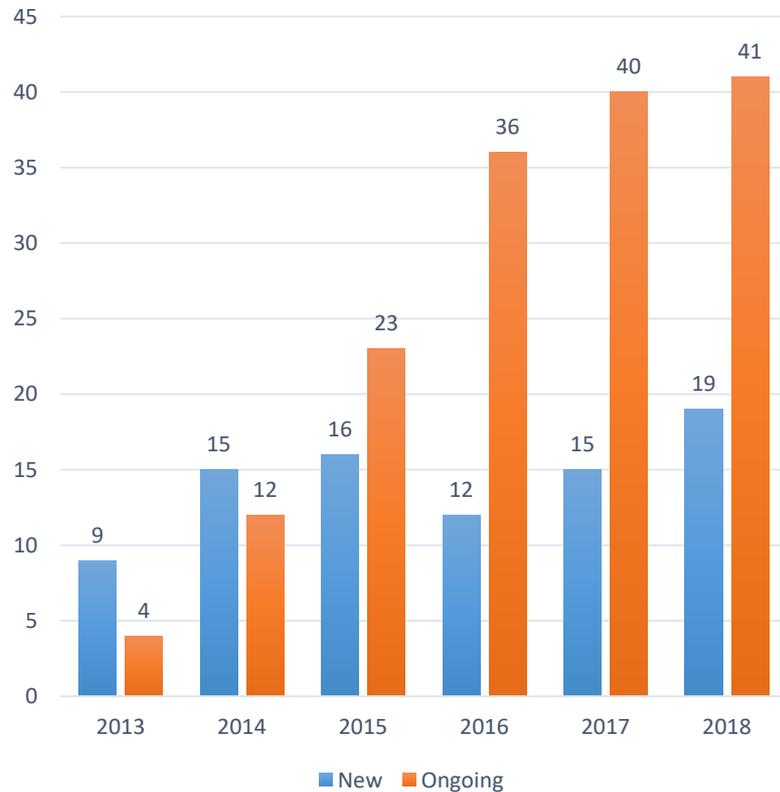
<https://policy.vcu.edu/sites/default/files/Conflict%20of%20Interest%20in%20Research.pdf>

# What does “basic” COI management look like?

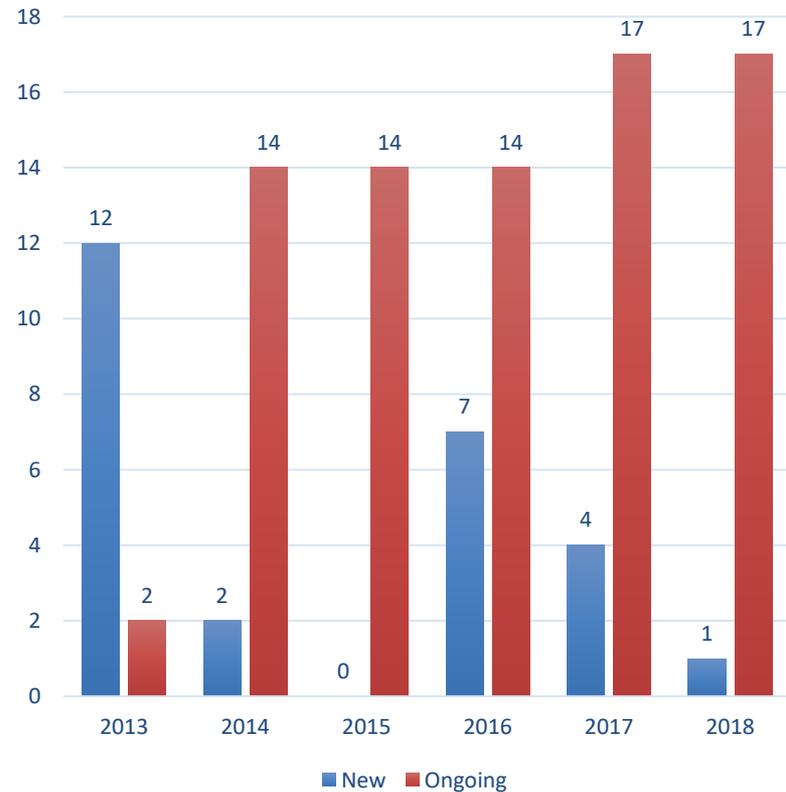
- Disclosure in publications/presentations as per professional and editorial requirements
- Disclosure to members of your research team including co-investigators, research personnel, students, trainees (possibly administrators) via:
  - Sharing management plan with the team or
  - Signed notification form
- Recommended disclosure in ICF, as relevant

# COI Management Plans

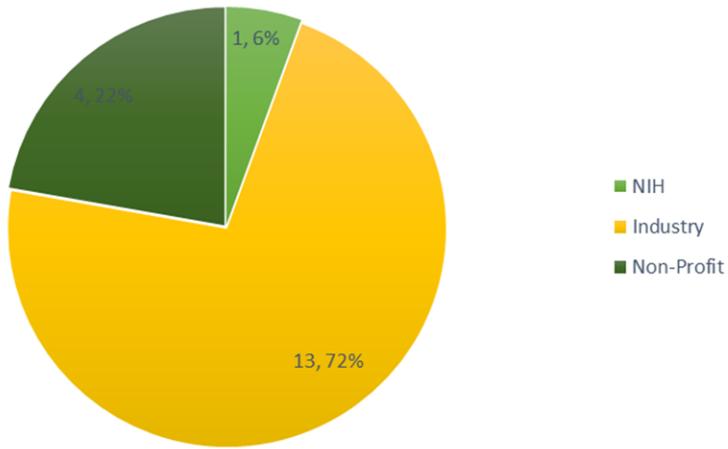
## COI Management Plans



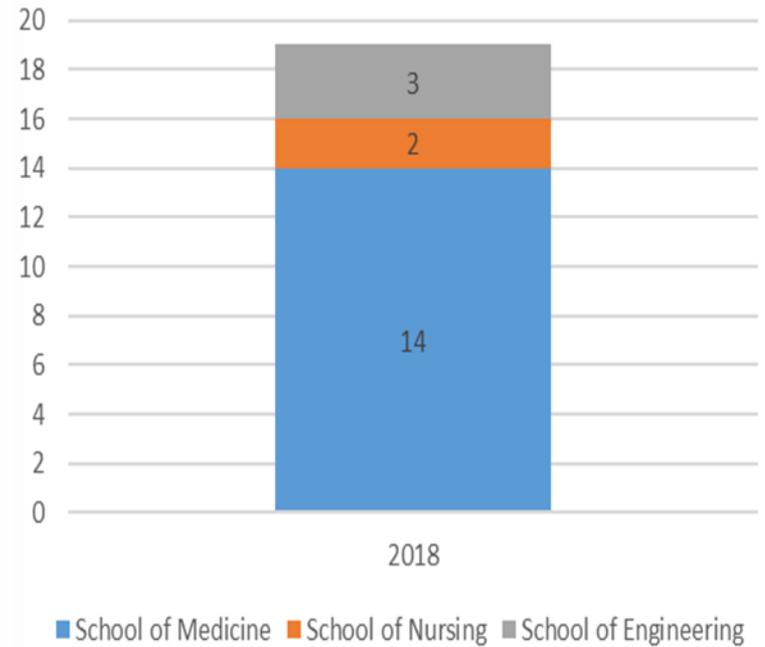
## CI Management Plans



### COI 2018 Funding

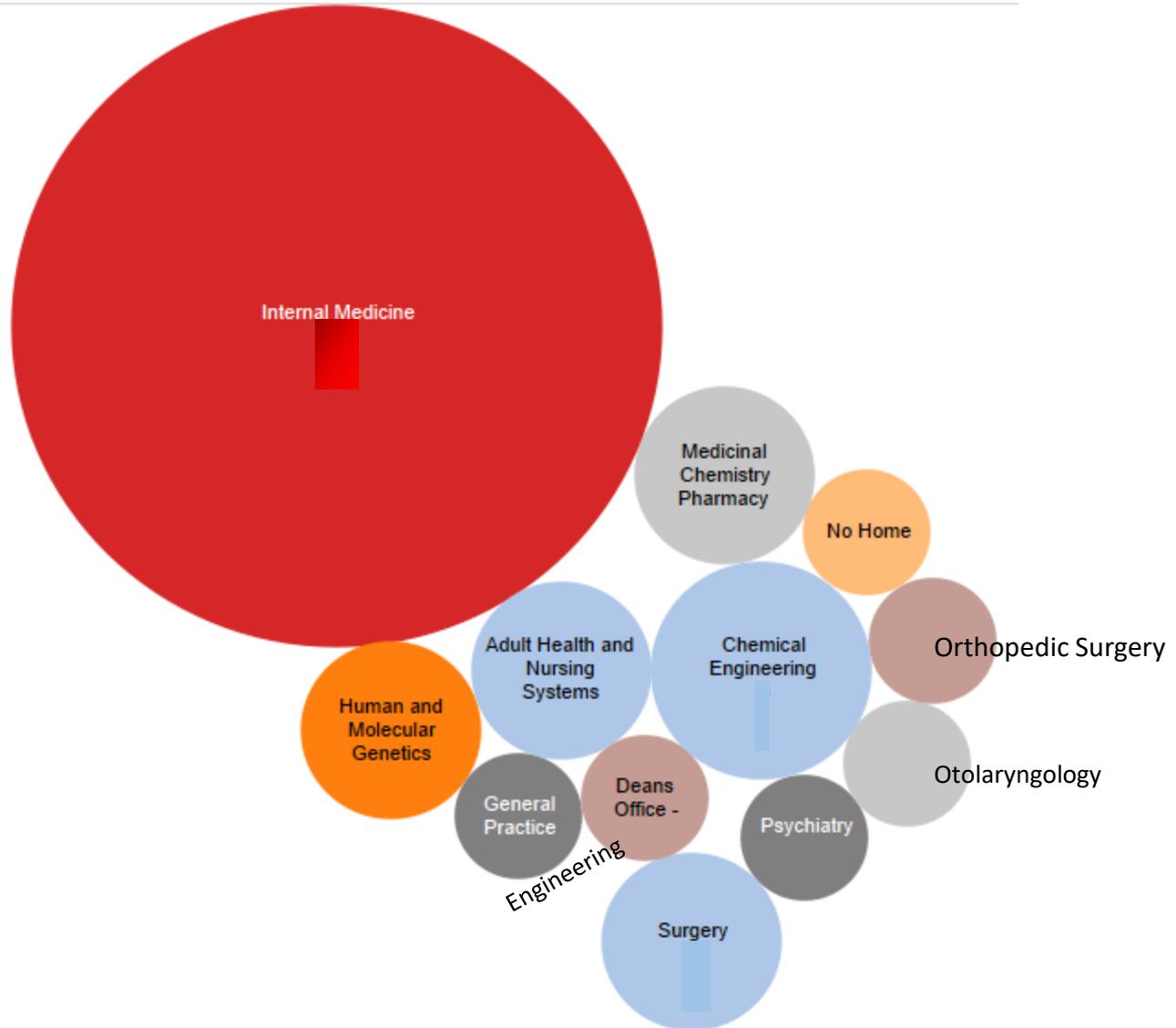


### COI Dispositions by School



# COI dispositions by department 2018

---



# Follow up on COI management

- At least annually
- Email from [AIRS@vcu.edu](mailto:AIRS@vcu.edu) requesting follow up
  - Summary of management plan
  - Disclosure info in related pubs assessed. Other relevant pubs? Provide disclosure info.
  - Any presentation(s)? Provide disclosure info.
  - Disclosure to research team personnel? Other management elements addressed?
  - Do FIR updates affect the COI determination?

# Reported in mainstream media

**The New York Times**

## *Top Cancer Researcher Fails to Disclose Corporate Financial Ties in Major Research Journals*

By Charles Ornstein and Katie Thomas

Sept. 8, 2018

<https://www.nytimes.com/2018/09/08/health/jose-baselga-cancer-memorial-sloan-kettering.html>

<https://www.nytimes.com/2018/09/13/health/jose-baselga-cancer-memorial-sloan-kettering.html>



# VCU

## **[DRAFT] Research and Development Exception for the Virginia Conflict of Interests Act**

**Policy Type:** Board of Visitors

**Responsible Office:** Office of the Vice President for Research and Innovation

**Initial Policy Approved:** 07/16/1992

**Current Revision Approved:** MM/DD/YYYY

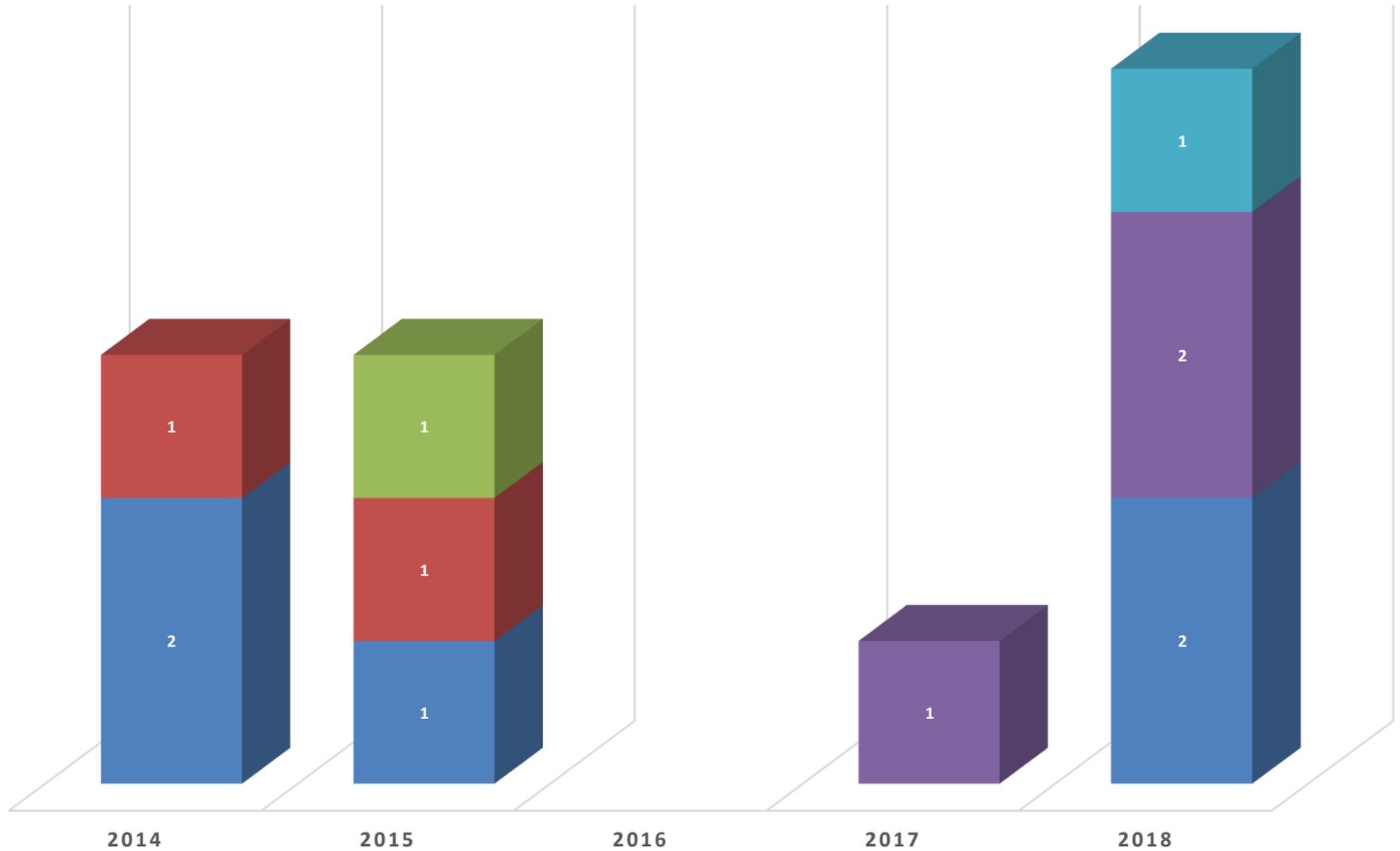
The Code of Virginia *State and Local Government Conflict of Interests Act* prohibits an employee from having a personal interest in a contract with VCU other than the employee's own contract of employment (§ 2.2-3106).

- Provides for exception for R & D (under C.8.).
- COI management plan + meeting C.8 requirements.
- Requires approval by President.

Typical example: Faculty owned company proposes to subcontract with VCU.

# EXCEPTIONS TO STATE PROHIBITED CONTRACTS

- School of Medicine
- School of Business
- School of Nursing
- College of Humanities and Sciences
- School of Engineering





# VCU

## Institutional Conflicts of Interest in Research

**Policy Type:** Administrative

**Responsible Office:** Office of Research Integrity and Ethics, Office of the Vice President of Research and Innovation

**Initial Policy Approved:** 06/08/2015

**Current Revision Approved:** 01/17/2019

- More clarity
- Flexibility
- Streamlined appeal

# ICOI Assessment and Management FY2018

Type of data	Source of data
Gifts	Development and Alumni Relations (DAR)
Royalty analysis	VCU Innovation Gateway (VIG)
Equity interest analysis	VIG
Select Covered Members	Financial Interest Report (FIR) in the Activity and Interest Reporting System (AIRS) & state reporting form
Procurement of research-related services and materials	Office of Procurement Services

## **Above threshold interests; ICOI managed via disclosure within individual investigators' management plans (represents 7 individuals):**

- ✓ Gift – 1
- ✓ Royalty interests – 2
- ✓ Equity interests – 5
- ✓ Covered members – 2
- ✓ Research-related procurement - 0